

Introduction

Several benefits to formalizing proofs:

- Less room for error (if any).
- No parts left as *exercise for the reader*.
- Proof can be explored interactively.
- It's fun!

At DTU we are interested in natural deduction for teaching purposes (NaDeA).

Abstract referred to my extension of Berghofer's work.
For continuity with previous talk I will use NaDeA here.

Agenda

- Isabelle & NaDeA
- Soundness
- Closed Formulas
- Open Formulas
- Conclusion

LCF-style theorem prover based on Higher-Order Logic (HOL).
All proofs go through (small) kernel of axioms and inference rules.
Like functional programming with datatypes for First-Order Logic.
Proofs are written in the declarative language Isar.

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Terms

type-synonym $id = char\ list$

datatype $tm = Var\ nat \mid Fun\ id\ tm\ list$

Formulas

datatype $fm = Falsity \mid Pre\ id\ tm\ list \mid Imp\ fm\ fm \mid Dis\ fm\ fm \mid Con\ fm\ fm \mid Exi\ fm \mid Uni\ fm$

Type variable $'a$ encodes domain.

Environment $e :: nat \Rightarrow 'a$.

Function denotation: $f :: id \Rightarrow 'a list \Rightarrow 'a$.

Terms

primrec

$semantics-term :: (nat \Rightarrow 'a) \Rightarrow (id \Rightarrow 'a list \Rightarrow 'a) \Rightarrow tm \Rightarrow 'a$ and

$semantics-list :: (nat \Rightarrow 'a) \Rightarrow (id \Rightarrow 'a list \Rightarrow 'a) \Rightarrow tm list \Rightarrow 'a list$ where

$semantics-term\ e\ f\ (Var\ n) = \boxed{e\ n} \mid$

$semantics-term\ e\ f\ (Fun\ i\ l) = \boxed{f\ i\ (semantics-list\ e\ f\ l)} \mid$

$semantics-list\ e\ f\ [] = [] \mid$

$semantics-list\ e\ f\ (t\ \#\ l) = semantics-term\ e\ f\ t\ \#\ semantics-list\ e\ f\ l$

Predicate denotation: $g :: id \Rightarrow 'a \text{ list} \Rightarrow bool$.

Formulas

primrec

$semantics :: (nat \Rightarrow 'a) \Rightarrow (id \Rightarrow 'a \text{ list} \Rightarrow 'a) \Rightarrow (id \Rightarrow 'a \text{ list} \Rightarrow bool) \Rightarrow fm \Rightarrow bool$

where

$semantics \ e \ f \ g \ Falsity = False \ |$

$semantics \ e \ f \ g \ (Pre \ i \ l) = g \ i \ (semantics\text{-list} \ e \ f \ l) \ |$

$semantics \ e \ f \ g \ (Imp \ p \ q) = (if \ semantics \ e \ f \ g \ p \ then \ semantics \ e \ f \ g \ q \ else \ True) \ |$

$semantics \ e \ f \ g \ (Dis \ p \ q) = (if \ semantics \ e \ f \ g \ p \ then \ True \ else \ semantics \ e \ f \ g \ q) \ |$

$semantics \ e \ f \ g \ (Con \ p \ q) = (if \ semantics \ e \ f \ g \ p \ then \ semantics \ e \ f \ g \ q \ else \ False) \ |$

$semantics \ e \ f \ g \ (Exi \ p) = (\exists x. \ semantics \ (\lambda n. \ if \ n = 0 \ then \ x \ else \ e \ (n - 1)) \ f \ g \ p) \ |$

$semantics \ e \ f \ g \ (Uni \ p) = (\forall x. \ semantics \ (\lambda n. \ if \ n = 0 \ then \ x \ else \ e \ (n - 1)) \ f \ g \ p)$

$$\frac{\phi \in \Gamma}{\Gamma \vdash \phi} \textit{assum}$$

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$$\frac{\text{member } p \ z}{\text{OK } p \ z} \text{ Assume}$$

Assume: member p z \implies OK p z

inductive *OK* :: *fm* \Rightarrow *fm list* \Rightarrow *bool* **where**

Assume: *member p z* \Rightarrow *OK p z* |

Boole: *OK Falsity ((Imp p Falsity) # z)* \Rightarrow *OK p z* |

Imp-E: *OK (Imp p q) z* \Rightarrow *OK p z* \Rightarrow *OK q z* |

Imp-I: *OK q (p # z)* \Rightarrow *OK (Imp p q) z* |

Dis-E: *OK (Dis p q) z* \Rightarrow *OK r (p # z)* \Rightarrow *OK r (q # z)* \Rightarrow *OK r z* |

Dis-I1: *OK p z* \Rightarrow *OK (Dis p q) z* |

Dis-I2: *OK q z* \Rightarrow *OK (Dis p q) z* |

Con-E1: *OK (Con p q) z* \Rightarrow *OK p z* |

Con-E2: *OK (Con p q) z* \Rightarrow *OK q z* |

Con-I: *OK p z* \Rightarrow *OK q z* \Rightarrow *OK (Con p q) z* |

Exi-E: *OK (Exi p) z* \Rightarrow *OK q ((sub 0 (Fun c [])) p) # z* \Rightarrow
news c (p # q # z) \Rightarrow *OK q z* |

Exi-I: *OK (sub 0 t p) z* \Rightarrow *OK (Exi p) z* |

Uni-E: *OK (Uni p) z* \Rightarrow *OK (sub 0 t p) z* |

Uni-I: *OK (sub 0 (Fun c [])) p) z* \Rightarrow *news c (p # z)* \Rightarrow *OK (Uni p) z*

Context

lemma *soundness'*:

OK $p z \implies \text{list-all (semantics } e \text{ } f \text{ } g) z \implies \text{semantics } e \text{ } f \text{ } g \text{ } p$

Proof by induction over inference rules. Written declaratively:

case (*Uni-I* $c \text{ } p \text{ } z$)

then have $\forall x. \text{list-all (semantics } e \text{ } (f(c := \lambda w. x)) \text{ } g) z$

by *simp*

then have $\forall x. \text{semantics } e \text{ } (f(c := \lambda w. x)) \text{ } g \text{ } (\text{sub } 0 \text{ } (Fun \text{ } c \text{ } []) \text{ } p)$

using *Uni-I* **by** *blast*

then have $\forall x. \text{semantics (put } e \text{ } 0 \text{ } x) (f(c := \lambda w. x)) \text{ } g \text{ } p$

by *simp*

then have $\forall x. \text{semantics (put } e \text{ } 0 \text{ } x) \text{ } f \text{ } g \text{ } p$

using *news c* ($p \neq z$) **by** *simp*

then show $\text{semantics } e \text{ } f \text{ } g \text{ } (Uni \text{ } p)$

by *simp*

Completeness

Proof by Fitting in *First-Order Logic and Automated Theorem Proving*.
Formalized by Berghofer for different natural deduction proof system.

Dependent on semantics

- Consistency property, C
- Alternative consistency, C^+
- Finite character, C^*
- Maximal extension, H . Is Hintikka, has an Herbrand model

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- Show consistency of formulas from which false cannot be derived.

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Completeness via contradiction

- Assume p is (closed and) valid but not derivable
- Then $\{\neg p\} \in C$ (no contradiction without p), has a model

Standard textbook trick for open formulas: Just universally close it!

$$x \rightarrow x \quad \rightsquigarrow \quad \forall x. x \rightarrow x$$

Then we obtain a derivation for a syntactically different formula.

Open formulas are well-defined in our formalization. We should treat them as such.

This might teach students something about environments etc.

Starting point

$$p \overset{?}{\vdash} x \rightarrow p$$

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Universally close formula

$$\stackrel{?}{\vdash} \forall x. p \rightarrow x \rightarrow p$$

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Universally close formula

$$\stackrel{?}{\vdash} \forall x. p \rightarrow x \rightarrow p$$

Obtain proof

$$\vdash \forall x. p \rightarrow x \rightarrow p$$

Starting point	$p \stackrel{?}{\vdash} x \rightarrow p$
Premises to implications	$\vdash p \rightarrow x \rightarrow p$
Universally close formula	$\vdash \stackrel{?}{\forall} x. p \rightarrow x \rightarrow p$
Obtain proof	$\vdash \forall x. p \rightarrow x \rightarrow p$
Eliminate quantifiers with constants	$\vdash p \rightarrow c \rightarrow p$

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Starting point	$p \stackrel{?}{\vdash} x \rightarrow p$
Premises to implications	$\vdash p \rightarrow x \rightarrow p$
Universally close formula	$\vdash \stackrel{?}{\forall} x. p \rightarrow x \rightarrow p$
Obtain proof	$\vdash \forall x. p \rightarrow x \rightarrow p$
Eliminate quantifiers with constants	$\vdash p \rightarrow c \rightarrow p$
Substitute constants with variables	$\vdash p \rightarrow x \rightarrow p$
Implications back to premises	$p \vdash x \rightarrow p$

Turn environment into chain of implications:

primrec *put-imps* :: *fm* \Rightarrow *fm list* \Rightarrow *fm* **where**
put-imps *p* [] = *p* |
put-imps *p* (*q* # *z*) = *Imp* *q* (*put-imps* *p* *z*)

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This behaves as expected with regards to the semantics:

lemma *semantics-put-imps*:

(*list-all* (*semantics* *e f g*) *z* \longrightarrow *semantics* *e f g* *p*) =
semantics *e f g* (*put-imps* *p* *z*)
by (*induct* *z*) *auto*

Universal closure

Put a number of universal quantifiers in front:

primrec *put-unis* :: *nat* \Rightarrow *fm* \Rightarrow *fm* **where**

put-unis 0 *p* = *p* |

put-unis (*Suc m*) *p* = *Uni* (*put-unis m p*)

Universal closure

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primrec *put-unis* :: $nat \Rightarrow fm \Rightarrow fm$ **where**

put-unis 0 $p = p$ |

put-unis (Suc m) $p = Uni$ (*put-unis* m p)

This preserves validity:

lemma *valid-put-unis*: $\forall (e :: nat \Rightarrow 'a) f g. semantics\ e\ f\ g\ p \Longrightarrow$
 $semantics\ (e :: nat \Rightarrow 'a) f g (put-unis\ m\ p)$

by (*induct* m *arbitrary*: e) *simp-all*

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lemma *valid-put-unis*: $\forall (e :: nat \Rightarrow 'a) f g. semantics\ e\ f\ g\ p \implies$
 $semantics\ (e :: nat \Rightarrow 'a) f g (put-unis\ m\ p)$
by (*induct* m *arbitrary*: e) *simp-all*

The universal closure exists:

lemma *ex-closure*: $\exists m. sentence\ (put-unis\ m\ p)$
using *ex-closed closed-put-unis* **by** *simp*

We can combine the above to obtain our derivation:

let $?p = \text{put-imps } p \text{ (rev } z)$

have $*$: $\forall (e :: \text{nat} \Rightarrow 'a) f g. \text{ semantics } e f g ?p$

using *assms semantics-put-imps* **by** *fastforce*

obtain m **where** $**$: $\text{sentence (put-unis } m ?p)$

using *ex-closure* **by** *blast*

moreover have $\forall (e :: \text{nat} \Rightarrow 'a) f g. \text{ semantics } e f g (\text{put-unis } m ?p)$

using $*$ *valid-put-unis* **by** *blast*

ultimately have *OK* $(\text{put-unis } m ?p) \square$

using *assms sentence-completeness* **by** *blast*

Next step: Work within proof system to derive open formula from this.

Tricky to eliminate quantifiers directly with de Bruijn indices.

Example

$$\begin{aligned}
 (\forall\forall p(0, 1, 2))[2/0] &\rightsquigarrow \forall((\forall p(0, 1, 2))[3/1]) \rightsquigarrow \forall\forall(p(0, 1, 2)[4/2]) \rightsquigarrow \forall\forall p(0, 1, 4) \\
 (\forall p(0, 1, 4))[1/0] &\rightsquigarrow \forall(p(0, 1, 4)[2/1]) \rightsquigarrow \forall p(0, 2, 3) \\
 p(0, 2, 3)[0/0] &\rightsquigarrow p(0, 1, 2)
 \end{aligned}$$

Previously substituted variables are adjusted by subsequent substitutions.

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 p(0, 2, 3)[0/0] &\rightsquigarrow p(0, 1, 2)
 \end{aligned}$$

Previously substituted variables are adjusted by subsequent substitutions.

Idea: Eliminate with (fresh) constants instead!

fun *consts-for-unis* :: *fm* \Rightarrow *id list* \Rightarrow *fm* **where**

consts-for-unis (*Uni p*) (*c#cs*) = *consts-for-unis* (*sub 0 (Fun c []) p*) *cs* |

consts-for-unis p - = *p*

New type of substitution: $sub\ c\ s\ p$ replaces occurrences of c with s in p , adjusting s when passing a quantifier.

Disadvantage: Have to reprove many substitution lemmas for $sub\ c$.

New type of substitution: $subc\ c\ s\ p$ replaces occurrences of c with s in p , adjusting s when passing a quantifier.

Disadvantage: Have to reprove many substitution lemmas for $subc$.

We prove the new rule admissible:

lemma *OK-subc*: $OK\ p\ z \implies OK\ (subc\ c\ s\ p)\ (subcs\ c\ s\ z)$

Trivial for everything except cases with quantifiers, newness, e.g. witness in *Exi-E* rule.

Requires renaming:

lemma *OK-psubst*: $OK\ p\ z \implies OK\ (psubst\ f\ p)\ (map\ (psubst\ f)\ z)$

Composing closure elimination with constant substitution yields telescoping sequence:

$$\text{subc } c_0 \ (m-1) \ (\text{subc } c_1 \ (m-2) \ (\dots \ (\text{subc } c_{m-1} \ 0 \ (\text{sub } 0 \ c_{m-1} \ \dots))))))$$

Each introduced constant is immediately substituted with correct variable. Subsequent substitutions do not adjust previous variables.

lemma *vars-for-consts-for-unis*:

$$\text{closed } (\text{length } cs) \ p \implies \text{list-all } (\lambda c. \text{new } c \ p) \ cs \implies \text{distinct } cs \implies \\ \text{vars-for-consts } (\text{consts-for-unis } (\text{put-unis } (\text{length } cs) \ p) \ cs) \ cs = p$$

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theorem *remove-unis*: $OK \ (\text{put-unis } m \ p) \ [] \implies OK \ p \ []$

For $p \rightarrow q$, weaken assumptions with p , then use modus ponens.

lemma *shift-imp-assum*:

assumes $OK (Imp\ p\ q)\ z$

shows $OK\ q\ (p\ \#\ z)$

proof –

have $set\ z\ \subseteq\ set\ (p\ \#\ z)$

by *auto*

then have $OK (Imp\ p\ q)\ (p\ \#\ z)$

using *assms weaken-assumptions* **by** *blast*

moreover have $OK\ p\ (p\ \#\ z)$

using *Assume* **by** *simp*

ultimately show $OK\ q\ (p\ \#\ z)$

using *Imp-E* **by** *blast*

qed

lemma *weaken-assumptions*: $OK\ p\ z \implies set\ z \subseteq set\ z' \implies OK\ p\ z'$

Shown by induction over inference rules.

Trivial, except for *Exi-E* and *Uni-I*, where newness is required: The new constant given by the induction hypothesis is not necessarily new under the bigger premises.

Again, renaming is necessary.

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Again, renaming is necessary.

Remove chain of implications by induction:

lemma *remove-imps*: $OK\ (put-imps\ p\ z)\ z' \implies OK\ p\ (rev\ z\ @\ z')$
using *shift-imp-assum* **by** (*induct\ z\ arbitrary: z'*) *simp-all*

We can now finish the completeness proof:

let $?p = \text{put-imps } p \text{ (rev } z)$

have $*$: $\forall (e :: \text{nat} \Rightarrow 'a) f g. \text{ semantics } e f g ?p$

using *assms semantics-put-imps* by *fastforce*

obtain m where $**$: $\text{sentence } (\text{put-unis } m ?p)$

using *ex-closure* by *blast*

moreover have $\forall (e :: \text{nat} \Rightarrow 'a) f g. \text{ semantics } e f g (\text{put-unis } m ?p)$

using $*$ *valid-put-unis* by *blast*

ultimately have $OK (\text{put-unis } m ?p) []$

using *assms sentence-completeness* by *blast*






then have $OK ?p []$

using $**$ *remove-unis* by *blast*

then show $OK p z$

using *remove-imps* by *fastforce*

- NaDeA is sound and complete.
- Also for open formulas.
 - Standard results like renaming, weakening arise naturally in proof.
- Formalization ensures tricky cases are treated properly.
- Formalization may also introduce complexity, e.g. de Bruijn indices.

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